Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	31	Trono NEAR didier	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2005/03/21 09:55
L2	5169	(lentiviral lentivirus HIV\$2) WITH vector	US-PGPUB; USPAT; EPO; JPO	OR	ON	2005/03/21 09:56
L3	7737	(replication NEAR (defective incompitant)) (self NEAR inactivating)	US-PGPUB; USPAT; EPO; JPO	OR	ON	2005/03/21 09:56
L4	1426	L2 and L3	US-PGPUB; USPAT; EPO; JPO	OR	ON	2005/03/21 09:56
L5	6569	hematopoietic ADJ stem ADJ cell	US-PGPUB;	OR	ON	2005/03/21 09:56
			USPAT; EPO; JPO; DERWENT			
L6	62	L4 and (delet\$5 NEAR LTR)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2005/03/21 09:56
L7	28	L6 and L5	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2005/03/21 09:56
L8	2546	EF1\$3 NEAR promoter (PGK NEAR promoter)	US-PGPUB; USPAT; EPO; JPO	OR	ON	2005/03/21 09:59
L9	179	L8 and L4	US-PGPUB;	OR	ON	2005/03/21 09:59
	la La Harana La Harana		USPAT; EPO; JPO			
L10	67	L9 and L5	US-PGPUB; USPAT; EPO; JPO	OR	ON	2005/03/21 09:59
L11	15	L10 and SIN	US-PGPUB; USPAT; EPO; JPO	OR	ON	2005/03/21 09:59

L1 L2

1.3

L4

L5

L6

L7 1.8

L9

L15

L16

AN

DN

тT

SO

IN

AΒ

(FILE 'HOME' ENTERED AT 10:01:48 ON 21 MAR 2005) FILE 'MEDLINE, CAPLUS, SCISEARCH' ENTERED AT 10:02:10 ON 21 MAR 2005 93035 S HEMATOPOIETIC (L) (STEM OR PROGENITOR OR PRECURSOR) (L) CELL 199 S (LENTIVR? OR HIV(3W) VECTOR) (L) ((SELF(2W) INACTIVA?) OR (REPL 25 S L1 (L) L2 11 DUP REM L3 (14 DUPLICATES REMOVED) 7 S L4 AND PY<=2000 E TRONO DID?/AU 149 S E4 2 S E5 151 S L6 OR L7 4 S L2 AND L8 3 DUP REM L9 (1 DUPLICATE REMOVED) L10654 S (LENTIVIR? OR HIV(3W) VECTOR) (L) ((SELF(2W) INACTIVA?) OR (REP L11 85 S L11 (L) L1 L12 36 DUP REM L12 (49 DUPLICATES REMOVED) L13 11 S L11 AND L8 L1410 DUP REM L14 (1 DUPLICATE REMOVED) 10 FOCUS L15 1-=> d an ti so au ab pi 116 1-6 L16 ANSWER 1 OF 10 CAPLUS COPYRIGHT 2005 ACS on STN 2003:23440 CAPLUS 138:84478 Self-inactivating lentiviral vectors for gene therapy capable of driving high level expression of therapeutic genes U.S. Pat. Appl. Publ., 40 pp. CODEN: USXXCO Trono, Didier; Salmon, Patrick HIV-derived lentivirus vectors which are safe, highly efficient, and drive high levels of expression of transgenes in human cells for gene therapy, especially, in human hematopoietic progenitor cells as well as in all other blood cell derivs. are described. The lentiviral vectors comprise a self-inactivating configuration for biosafety. The vectors carry only the gag, pol, and rev genes. The promoter function of the long terminal repeats (LTR) is diminished by inactivation of the U3 region of the right LTR. Promoters such as the $\text{EF}1\alpha$ promoter are used to drive transgene expression and addnl. promoters are also described. The vectors can also comprise addnl. transcription enhancing elements such as the wood chuck hepatitis virus post-transcriptional regulatory element. These vectors therefore provide useful tools for genetic treatments such as inherited and acquired lympho-hematol. disorders, gene-therapies for cancers especially the hematol. cancers, as well as for the study of hematopoiesis via lentivector-mediated modification of human HSCs. Construction of vectors based on HIV-1 and murine leukemia virus is demonstrated. Vectors pseudotyped with vesicular stomatitis virus G glycoproteins efficiently infected CD34+ cells. Efficient expression of reporter genes from PGK and $EF1\alpha$ promoters was seen. PATENT NO. APPLICATION NO. KIND DATE DATE ---------------------______ _____ US 2003008374 A1 20030109 US 2001-10081 20011109 L16 ANSWER 2 OF 10 CAPLUS COPYRIGHT 2005 ACS on STN 1998:760311 CAPLUS 130:120179

AN

DN

PΙ

- ΤI Self-inactivating lentivirus vector for safe and efficient in vivo gene delivery
- Journal of Virology (1998), 72(12), 9873-9880 CODEN: JOVIAM; ISSN: 0022-538X
- Zufferey, Romain; Dull, Thomas; Mandel, Ronald J.; Bukovsky, Anatoly; Quiroz, Dulce; Naldini, Luigi; Trono, Didier
 In vivo transduction of nondividing cells by human immunodeficiency virus ΑIJ
- AB type 1 (HIV-1)-based vectors results in transgene expression that is stable over several months. However, the use of

HIV-1 vectors raises concerns about their safety. Here we describe a self-inactivating HIV-1 vector with a 400-nucleotide deletion in the 3' long terminal repeat (LTR). The deletion, which includes the TATA box, abolished the LTR promoter activity but die not affect vector titers or transgene expression in vitro. The self-inactivating vector transduced neurons in vivo as efficiently as a vector with full-length LTRs. The inactivation design achieved in this work improves significantly the biosafety of HIV-derived vectors, as it reduces the likelihood that replication-competent retroviruses will originate in the vector producer and target cells, and hampers recombination with wild-type HIV in an infected host. Moreover, it improves the potential performance of the vector by removing LTR sequences previously associated with transcriptional interference and suppression in vivo and by allowing the construction of more-stringent tissue-specific or regulatable vectors.

- L16 ANSWER 3 OF 10 CAPLUS COPYRIGHT 2005 ACS on STN
- AN 2003:117973 CAPLUS
- DN 138:164686
- TI Highly contained replication incompetent
 - lentiviral gene therapy vectors and systems for their propagation
- SO PCT Int. Appl., 94 pp. CODEN: PIXXD2
- IN Trono, Didier; Zufferey, Romain N.
- Lentivirus vectors derived from human immunodeficiency virus AB that have a number of modifications that make them very safe, efficient, high-level expression vectors for gene therapy are described. The modifications include, in combination: an inactive central polypurine tract, a stuffer sequence, which may encode drug susceptibility genes, and a mutated hairpin in the 5' leader sequence that substantially abolishes replication. In addition, genes essential for viral replication are on plasmids containing mutations that prevent replication competent virus being formed by recombination. These elements are provided in conjunction with other features of lentiviral vectors, such as a selfinactivating configuration for biosafety and promoters such as the EF1 α promoter as one example. Addnl. promoters are also described. The vectors can also comprise addnl. transcription enhancing elements such as the wood chuck hepatitis virus post-transcriptional regulatory element. These vectors therefore provide useful tools for genetic treatments for inherited and acquired disorders, gene-therapies for cancers and other disease, the creation of industrial and exptl. production systems utilizing transformed cells, as well as for the study of basic cellular and genetic

```
processes.
      PATENT NO.
                               KIND
                                        DATE
                                                       APPLICATION NO.
      -----
                               ----
                                                        -----
PΙ
      WO 2003012054
                               A2
                                         20030213
                                                       WO 2002-US24275
                                                                                     20020801
      WO 2003012054
                               A3
                                        20031120
           W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN,
                CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH,
                PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ,
                UA, UG, US, UZ, VN, YU, ZA, ZM, ZW
           RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY,
                KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR, BF, BJ, CF,
                CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG
      US 2003082789
                                Α1
                                        20030501 US 2002-209952
      EP 1412493
                                 A2
                                        20040428
                                                      EP 2002-763401
                                                                                      20020801
           R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ, EE, SK
```

- L16 ANSWER 4 OF 10 CAPLUS COPYRIGHT 2005 ACS on STN
- AN 2000:52217 CAPLUS
- DN 132:198941
- TI Self-inactivating lentiviral vectors with enhanced transgene expression as potential gene transfer system in Parkinson's disease
- SO Human Gene Therapy (2000), 11(1), 179-190

CODEN: HGTHE3; ISSN: 1043-0342

AU Deglon, Nicole; Tseng, Jack L.; Bensadoun, Jean-Charles; Zurn, Anne D.; Arsenijevic, Yvan; De Almeida, Luis Pereira; Zufferey, Romain; Trono, Didier; Aebischer, Patrick

- Glial cell line-derived neurotrophic factor (GDNF) is able to protect AΒ dopaminergic neurons against various insults and constitutes therefore a promising candidate for the treatment of Parkinson's disease. Lentiviral vectors that infect quiescent neuronal cells may allow the localized delivery of GDNF, thus avoiding potential side effects related to the activation of other brain structures. To test this hypothesis in a setting ensuring both maximal biosafety and optimal transgene expression, a self-inactivating (SIN) lentiviral vector was modified by insertion of the posttranscriptional regulatory element of the woodchuck hepatitis virus, and particles were produced with a multiply attenuated packaging system. After a single injection of 2 µl of a lacZ-expressing vector (SIN-W-LacZ) in the substantia nigra of adult rats, an average of 40.1 \pm 6.0% of the tyrosine hydroxylase (TH)-pos. neurons were transduced as compared with 5.0 \pm 2.1% with the first-generation lentiviral vector. Moreover, the SIN-W vector expressing GDNF under the control of the mouse phosphoglycerate kinase 1 (PGK) promoter was able to protect nigral dopaminergic neurons after medial forebrain bundle axotomy. Expression of hGDNF in the nanogram range was detected in exts. of mesencephalon of animals injected with an SIN-W-PGK-GDNF vector, whereas it was undetectable in animals injected with a control vector. Lentiviral vectors with enhanced expression and safety features further establish the potential use of these vectors for the local delivery of bioactive mols. into defined structures of the central nervous system.
- L16 ANSWER 5 OF 10 CAPLUS COPYRIGHT 2005 ACS on STN
- AN 1996:632319 CAPLUS
- DN 125:266887
- TI Efficient transfer, integration, and sustained long-term expression of the transgene in adult rat brains injected with a lentiviral vector
- SO Proceedings of the National Academy of Sciences of the United States of America (1996), 93(21), 11382-11388
 CODEN: PNASA6; ISSN: 0027-8424
- AU Naldini, Luigi; Blomer, Ulrike; Gage, Fred H.; Trono, Didier; Verma, Inder M.
- We describe the construction of a safe, replication-defective AB and efficient lentiviral vector suitable for in vivo gene delivery. The reverse transcription of the vector was found to be a rate-limiting step; therefore, promoting the reaction inside the vector particles before delivery significantly enhanced the efficiency of gene transfer. After injection into the brain of adult rats, sustained long-term expression of the transgene was obtained in the absence of detectable pathol. A high proportion of the neurons in the areas surrounding the injection sites of the vector expressed the transduced $\beta\text{-galactosidase gene.}$ This pattern was invariant in animals sacrificed several months after a single administration of the vector. Transduction occurs by integration of the vector genome, as it was abolished by a single amino acid substitution in the catalytic site of the integrase protein incorporated in the vector. Development of clin. acceptable derivs. of the lentiviral vector may thus enable the sustained delivery of significant amts. of a therapeutic gene product in a wide variety of somatic tissues.
- L16 ANSWER 6 OF 10 CAPLUS COPYRIGHT 2005 ACS on STN
- AN 2000:816778 CAPLUS
- DN 135:14992
- TI High-level transgene expression in human hematopoietic progenitors and differentiated blood lineages after transduction with improved lentiviral vectors
- SO Blood (2000), 96(10), 3392-3398 CODEN: BLOOAW; ISSN: 0006-4971
- AU Salmon, Patrick; Kindler, Vincent; Ducrey, Odile; Chapuis, Bernard; Zubler, Rudolf H.; Trono, Didier
- AB Recent expts. point to the great value of lentiviral vectors for the transduction of human hematopoietic stem cells (hHSCs). Vectors used

so far, however, have been poorly satisfying in terms of either biosafety or efficiency of transgene expression. Herein is described the results obtained with human immunodeficiency virus-based vectors optimized in both of these aspects. It is thus shown that vectors containing the $\text{EF1}\alpha$ and, to a lesser extent, the phosphoglycerate kinase (PGK) promoter, govern high-level gene expression in human hematopoietic progenitors as well as derived hematopoietic lineages of therapeutic relevance, such as erythrocytes, granulocytes, monocytes, dendritic cells, and megakaryocytes. EF1a promoter-containing lentiviral vectors can also induce strong transgene expression in primary T lymphocytes isolated from peripheral blood. A self-inactivating design did not affect the performance of EF1a promoter-based vectors but significantly reduced expression from the PGK promoter. This neg. effect could nevertheless be largely rescued by inserting the post-transcriptional regulatory element of woodchuck hepatitis virus upstream of the vector 3' long terminal repeat. These results have important practical implications for the genetic treatment of lymphohematol. disorders as well as for the study of hematopoiesis via the lentivector-mediated modification of hHSCs.